

To be held on: Wednesday 18 January 2017, 4.30pm – 6pm.

Venue: Charles Russell Speechlys, 5 Fleet Place, London, EC4M 7RD

(Host: Andrew Collins)

AGENDA

- 1. Welcome to Charlie Pugh, Head of Euroclear UK & Ireland and David Smith, Head of Trading Products – London Stock Exchange, to discuss the settlement discipline regime within the CSDR.**
- 2. Apologies**
- 3. Minutes of the last meeting (16 November 2016)**
- 4. Issues for discussion**
 - a) MiFID II
 - General update
 - FCA Consultation CP16/43 - Markets in Financial Instruments Directive II implementation - Consultation Paper IV (RD: 17 February 2017)
 - b) Secondary Markets Expert Group work plan for 2017
 - c) Secondary Markets Expert Group Terms of Reference
 - d) Brexit
- 5. Communications / future meetings**
 - a) Policy Update (January 2017) – for information only
 - b) Guests for future meetings
- 6. Any Other Business**

Information for noting – Consultation responses

- FCA Consultation – CP16/29 Markets in Financial Instruments Directive II Implementation – Consultation Paper III (R: 14 December 2016)
- ESMA Consultation – Draft guidelines on MiFID II product governance requirements (R: 4 January 2017)

Next Meeting: Wednesday 1 March 2017, 4.30pm – 6pm (Venue: Charles Russell Speechlys, 5 Fleet Place, London, EC4M 7RD (Host: Andrew Collins))